



UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1030)

PATENT

In the Application of:

Rudger Rubbert

Serial No.: 09/254,078

Filed: May 3, 1999

For: Method and Device for Carrying  
Out Optical Pick Up

Group Art Unit: 3732

Examiner Lucchesi

Commissioner for Patents  
Washington DC 20231

TRANSMITTAL LETTER


Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  1. Supplemental Information Disclosure Statement
  2. Form PTO-1449
  3. Cited References
  4. Return Postcard
2. With respect to additional fees:
  - A. ☐ No additional fee is required.
  - B. ☐ Attached is a check in the amount of \$\_\_\_\_\_.
  - C. ☒ Charge the total additional fee to our Deposit Account No. 13-2490.

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 9th day of October, 2001.

By   
Thomas A. Fairhall  
Reg. No. 34,591

RECEIVED  
SEP 24 2001  
TECHNOLOGY CENTER 3700

3732



UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1030)

#14  
NDP  
10-25-01

PATENT

In the Application of:

Rudger Rubbert

Serial No.: 09/254,078

Filed: May 3, 1999

For: Method and Device for Carrying  
Out Optical Pick Up

Group Art Unit: 3732

Examiner Lucchesi

MAILED

OCT 24 2001

GROUP 3700

Commissioner for Patents  
Washington, D.C. 2021

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references and information of record in the above-identified application. Copies of the cited references are enclosed. The references are also listed in the PTO-1449 form enclosed herewith. It is requested that the references and information be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Please charge any required fees for consideration of these cited references to Deposit Account No. 13-2490.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the

relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §§ 102 or 103.

#### CITED REFERENCES

##### U.S. Patents:

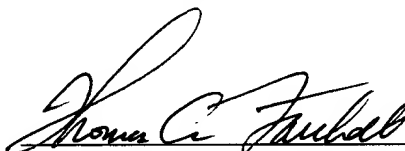
<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification</u>
4,634,278	01/06/87	Ross et al.	356/376
5,604,817	02/18/97	Massen et al.	382/120

Respectfully submitted.  
McDonnell Boehnen Hulbert & Berghoff

Date:

10/9/01

By:

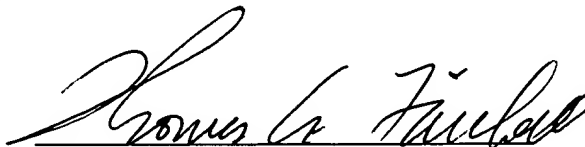


Thomas A. Fairhall

Reg. No. 34591

#### CERTIFICATE OF MAILING

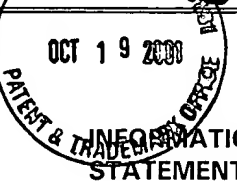
The undersigned hereby certifies that the foregoing INFORMATION DISCLOSURE STATEMENT is being deposited as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on this 9<sup>th</sup> day of October, 2001.



Thomas A. Fairhall

#14

Sheet 1 of 1

<b>FORM PTO-1449</b> (Rev. 2-32)		<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>		<b>Atty. Docket No.</b>  00,1030	<b>Serial No.</b>  09/254,078
		<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use several sheets if necessary)			
		<b>Applicant:</b> Rudger Rubbert			
		<b>Filing Date:</b> 05/03/99	<b>Group:</b> 3732		

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	4,634,278	01/06/87	Ross et al.	356	376	
	5,604,817	02/18/97	Massen et al.	382	120	

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

MAILED

OCT 24 2001

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

GROUP 3700

EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.